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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number 09/995,649

Filing Date November 29, 2001

First Nam d Inv nt r Hiipakka

Group Art 2173

Examiner Name N/a

Total Number of Pages in This Submission

Attorney Docket Number 04770.00029

Technology Center 2100

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

**Form 1449 w/cited references
Return Receipt Postcard**

Remarks

**Please charge any additional fees or credit
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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or
Individual name

Binal J. Patel
Banner & Witcoff, Ltd.

Signature

Date

March 6, 2002

CERTIFICATE OF MAILING

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In re Application of:

Jarmo Hiipakka

Serial No.: 09/995,649

Filed: November 29, 2001

For: Method and Apparatus for Presenting Auditory Icons in a Mobile Terminal

Group Art Unit: 2173

Examiner: n/a

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicant wishes to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. Copies of the references cited in the enclosed Form-1449 are enclosed.

In addition, the applicant wishes to reference the following related pending patent applications for the Examiner's reference:

- (1) U.S. Patent Application Serial No. 09/966,042, filed September 28, 2001 and entitled “Multilevel Sorting and Displaying of Contextual Objects”; and
- (2) U.S. Patent Application Serial No. 09/842,211, filed April 26, 2001 and entitled “Method And Apparatus For Displaying Prioritized Icons In A Mobile Terminal.”

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

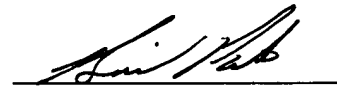
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Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: March 6, 2002

By:



Binal J. Patel
Reg. No. 42,065

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

C mplete if Known

Application Number	09/995,649
Filing Date	November 29, 2001
First Named Inventor	Hiiipakka
Group Art Unit	2173
Examiner Name	n/a
Attorney Docket Number	04770.00029

Sheet

of

Attorney Docket Number

04770.00029

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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(Attorney Docket No. 04770.00029)

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By: 

(person actually depositing)

In the Application of: Hiipakka

Serial Number: 09/995,649

Filing Date: November 29, 2001

Title: Method and Apparatus for Presenting Auditory Icons in a Mobile Terminal

☒ Information Disclosure Statement

☒ Form 1449 w/cited references

☒ Transmittal letter

☒ Return Postcard

Attorney Docket No.: 04770.00029